

EL978317256US

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In application of:  De La Cruz et al.  Serial No: Filed: Confirmation No.:	: Group Art Unit:  : Intellectual Property Law Department : International Business Machines Corp. : 11400 Burnet Road : Austin, Texas 78758
Title: MANAGING FAILOVER OF J2EE COMPLIANT MIDDLEWARE IN A HIGH AVAILABILITY SYSTEM	

DATE: 01-30-04

INFORMATION DISCLOSURE STATEMENT

Mail Stop Patent Applications

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56 (a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 CFR 1.98 (a)(1). The requirement to include copies of U.S. patents is waived. See 37 CFR 1.491(b).

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Amy J. Pattillo", with a long horizontal flourish extending to the right.

Amy J. Pattillo  
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In Place of FORM PTO-1449 (Modified)

LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT

Serial No. \_\_\_\_\_

Applicant: De La Cruz et al.

Filing Date: \_\_\_\_\_

Group: \_\_\_\_\_

Atty. Docket No. AUS920040005US1

Reference Designation

U. S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Sub- class	Filing Date if Appropriate
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FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation
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OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner  
Initial

CA	Joedecke et al., Highly Available DB2 Universal Database using Tivoli System Automation for Linux, <a href="ftp://software.ibm.com/software/data/pubs/paper/halinux.pdf">ftp://software.ibm.com/software/data/pubs/paper/halinux.pdf</a> , Available as of 1/14/2004, Copyright 2003
CB	Gucer et al., Using IBM Tivoli System Automation for Linux, <a href="http://www.redbooks.ibm.com/redpapers/pdfs/red3716.pdf">www.redbooks.ibm.com/redpapers/pdfs/red3716.pdf</a> , Available as of 1/14/2004, Copyright 2003
CC	Naciterhan, Open Source LINUX High Availability for IBM DB2 Universal Database, <a href="ftp://software.ibm.com/software/data/pubs/papers/db2halinux.pdf">ftp://software.ibm.com/software/data/pubs/papers/db2halinux.pdf</a> , Available as of 1/14/2004, Copyright 2003
CD	Rao, IBM DB2 Universal Database clustering - High availability with WebSphere Edge Server, <a href="http://www-106.ibm.com/developerworks/ibm/library/i-cluster/">http://www-106.ibm.com/developerworks/ibm/library/i-cluster/</a> , Available as of 1/14/2004, Copyright 2002

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<http://java.sun.com/features/2000/08/connect.html>, Available as of 1/14/2004, Copyright 2000

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Examiner:

Date Considered:

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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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